FLORIDA ATLANTIC UNIVERSITY
DIVISION OF RESEARCH

Office of Technology Development
Material Transfer Agreement Review Form

Instructions

Complete all fields in sections I through V and sign the form. If a field is not applicable, please state N/A. Send the completed form to OTD at techdevelop@fau.edu. OTD will acknowledge receipt of the form via e-mail.

Section I: FAU Principal Investigator Information

Name:

Title:

Department/Center/Institute:

College/Area:

Phone:

E-mail address:

Is the FAU PI providing or receiving materials?

☐ Providing ☐ Receiving

Section II: Non-FAU Principal Investigator Information

Name:

Title:

Department/Center/Institute:

College/Area:

Phone:

E-mail address:

Is the Non-FAU PI providing or receiving materials?

☐ Providing ☐ Receiving

Section III: Material Description

Material title:

Material description:

Material end-use:

Total value of all shipments:

Section IV: Compliance Questions

Is the material listed on the **U.S. Munitions List (ITAR)** or the **Commerce Control List (CCL)**?

If yes, please provide the relevant identification number.

☐ Yes USML# CCL#

☐ No

Will you provide any non-U.S. citizen access to the material you are providing/receiving? If yes, please provide their name, country of citizenship, and their role in relation to the use of the materials.

☐ Yes Name Country

☐ No Role

Do you have any reason to suspect or believe that the end-user will use the material for the design, development, production, or stockpiling of military applications or defense related systems, including, but not limited to, chemical or biological weapons, missiles, or nuclear explosive devices?

☐ Yes ☐ No

Is the material publicly available or in the public domain without restriction (i.e. available to be purchased by anyone without restriction)?

☐ Yes ☐ No

Will you be providing/receiving hazardous material?

☐ Yes ☐ No

Will you be providing/receiving live vertebrate animals?

☐ Yes ☐ No

If FAU will be receiving material, will the material require registration with the Institutional Biosafety Committee (IBC)? If yes, please provide a copy of your IBC Approval Letter with the submission of this MTA Review Form. Materials requiring IBC registration include:

- Recombinant DNA
- Human Materials
- Non-Human Primate Materials
- Biological Materials
- Chemical Toxins
- Infectious Agents
- U.S. Select Agents

☐ Yes ☐ No

Will any externally funded research project utilize the material? If yes, please provide the sponsor name, sponsor award number, project title, and Novelution/AWD number.

☐ Yes	Sponsor Name		Sponsor Award Number	
☐ No	Project Title		Novelution/AWD number	

Section VI: Application Certification

I certify that the information provided in this form is true and accurate to the best of my knowledge. I acknowledge that I may be held personally liable if I unlawfully engage in an export, re-export, or deemed export, whether in the U.S. or abroad, of controlled materials to foreign nationals without first obtaining written approval.

By:

Name:

Date:

Note: Submission and approval of this form is required in addition to any contractual agreement between Florida Atlantic University and any external third parties to receive or provide materials. U.S. export control regulations require that principal investigators retain all records related to their export control activities for a period of five (5) years.